

The Hartford — New Business Checklist

In order to do business with Lorence and Vander Zwart and Hartford:

1. LVZ must have on file a signed Solicitors Agreement.

In addition to the form listed below, your broker dealer may have additional requirements such as requiring account information forms, third party disclosure documents or other requirements.

The following forms need to be completed and returned directly to LVZ to add third party management.

- LVZ Investment Management Agreement Form
- LVZ Investor Suitability Questionnaire
- LVZ Solicitor Disclosure Brochure
- Hartford RIA Fee Payment and Transfer Authorization



Did you know:

LVZ can fill out your paperwork for you with your client's consent?

The above forms are available on Laser App and our website?