

Risk Profile—Model Change Form



Effective Date of this change is _____

Account Registration: _____
Account is held at: _____
Account Number: _____

I have decided to make this change for the following reason(s):

Existing Model _____ New Requested Model _____
(Agg Growth, Growth, Growth & Income, Balanced)

I acknowledge the following:

LVZ will make all changes to my portfolio to align it with the new model.

I have reviewed the new model's allocation and approve of its objective.

There may be transaction charges and tax consequences to this change and have reviewed them before requesting this change.

That this change in risk profile may affect my long term stated objectives and I have sought appropriate counsel to determine is appropriateness.

Until further notice, please manage my account in accordance with the newly requested model.

Sincerely,

Account Holder (Print)

Account Holder (signature)

Joint Account Holder (Print)

Joint Account Holder (signature)

