

# Capital Preservation Change Form



**Effective Date of this change is** \_\_\_\_\_

Account Registration: \_\_\_\_\_  
Account is held at: \_\_\_\_\_  
Account Number: \_\_\_\_\_

My financial situation has significantly changed, please disregard the most recently completed investor suitability questionnaire and liquidate all positions to cash equivalents.

I acknowledge the following:

There may be transaction charges and tax consequences to this change and have reviewed them before requesting this change.

That this change in risk profile may affect my long term stated objectives and I have sought appropriate counsel to determine its appropriateness.

Sincerely,

\_\_\_\_\_  
Account Holder (Print)

\_\_\_\_\_  
Account Holder (signature)

\_\_\_\_\_  
Joint Account Holder (Print)

\_\_\_\_\_  
Joint Account Holder (signature)